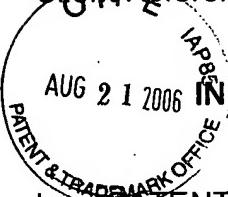


Attorney's Docket 81674-249728

PATENT

**Client Reference: P12820**



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re-PATENT APPLICATION of:  
RAJENDRA YAVATKAR

Confirmation Number: 9480

Application No.: 10/056,160

Group Art Unit: 2616

Filed: January 24, 2002

Examiner: STEVEN NGUYEN

For: METHOD AND APPARATUS FOR MANAGING ENERGY USAGE OF  
PROCESSORS WHILE EXECUTING PROTOCOL STATE MACHINES

## **Commissioner for Patents**

P.O. Box 1450

Alexandria, VA 22313-1450

## **AMENDMENT/RESPONSE TRANSMITTAL**

Transmitted herewith is an amendment/response for this application.

## **FEES**

The fee for claims and extension of time (37 C.F.R. 1.16 and 1.17) has been calculated as shown below:

| CLAIMS<br>REMAINING                 |    | HIGHEST NO.<br>AFTER PREVIOUSLY<br>AMENDMENT PAID FOR |    | PRESENT<br>EXTRA |   | RATE |        | ADDIT.<br>FEE |           |
|-------------------------------------|----|---|----|------------------|---|------|--------|---------------|-----------|
| TOTAL                               | 28 | -   | 30 | =                | 0 | \$   | 50.00  | =             | \$ 0.00   |
| INDEP.                              | 5  | -   | 4  | =                | 1 | \$   | 200.00 | =             | \$ 200.00 |
| FIRST PRESENTATION OF MULTIPLE DEP. |    |   |    |                  |   |      |        |               |           |
| CLAIM                               |    |   |    |                  |   | \$   | 360.00 | =             | \$ 0.00   |
| TOTAL ADDITIONAL CLAIM FEE          |    |   |    |                  |   |      |        | \$            | 200.00    |
| REQUEST FOR CONTINUED EXAMINATION   |    |   |    |                  |   |      |        | \$            | 790.00    |
| GRAND TOTAL                         |    |   |    |                  |   |      |        | \$            | 990.00    |

08/22/2006 HGUTEM01 00000020 033975 10056160

02 FC:1201 200.00 DA

**FEE PAYMENT**

Authorization is hereby made to charge the amount of \$990.00 to Deposit Account No. 033975. Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

Date: August 17, 2006

PILLSBURY WINTHROP SHAW PITTMAN LLP  
725 South Figueroa Street, Suite 2800  
Los Angeles, CA 90017-5406  
213 488.7253

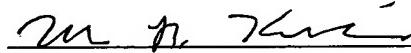


MARK R. KENDRICK  
Reg. No. 48468

**CERTIFICATION UNDER 37 C.F.R. §§ 1.8 and/or 1.10\***

(When using Express Mail, the Express Mail label number is *mandatory*; *Express Mail certification is optional*.)

I hereby certify that, on the date shown below, this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Signature

Date: August 17, 2006

MARK R. KENDRICK  
(type or print name of person certifying)

\* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(j). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL For FY 2006

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$)  
**990.00**

#### *Complete if Known*

|                      |                   |
|----------------------|-------------------|
| Application Number   | 10/056,160        |
| Filing Date          | January 24, 2002  |
| First Named Inventor | RAJENDRA YAVATKAR |
| Examiner Name        | SEVEN NGUYEN      |
| Art Unit             | 2616              |
| Attorney Docket No.  | 81674-249728      |

#### METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_  
 Deposit Account Deposit Account Number: **033975** Deposit Account Name: PILLSBURY WINTHROP SHAW PITTMAN LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

#### FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

##### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

| Application Type | FILING FEES         |                 | SEARCH FEES         |                 | EXAMINATION FEES    |                 | <b>Fees Paid (\$)</b> |
|------------------|---------------------|-----------------|---------------------|-----------------|---------------------|-----------------|-----------------------|
|                  | <u>Small Entity</u> | <u>Fee (\$)</u> | <u>Small Entity</u> | <u>Fee (\$)</u> | <u>Small Entity</u> | <u>Fee (\$)</u> |                       |
| Utility          | 300                 | 150             | 500                 | 250             | 200                 | 100             | _____                 |
| Design           | 200                 | 100             | 100                 | 50              | 130                 | 65              | _____                 |
| Plant            | 200                 | 100             | 300                 | 150             | 160                 | 80              | _____                 |
| Reissue          | 300                 | 150             | 500                 | 250             | 600                 | 300             | _____                 |
| Provisional      | 200                 | 100             | 0                   | 0               | 0                   | 0               | _____                 |

##### 2. EXCESS CLAIM FEES

###### Fee Description

Each claim over 20 (including Reissues)

###### Small Entity

Fee (\$) Fee (\$)

50 25

Each independent claim over 3 (including Reissues)

200 100

Multiple dependent claims

360 180

###### Total Claims

###### Extra Claims

###### Fee (\$)

###### Fee Paid (\$)

###### Multiple Dependent Claims

###### Fee (\$) Fee Paid (\$)

28 - 20 or HP = 0 x 0 = \_\_\_\_\_

HP = highest number of total claims paid for, if greater than 20.

###### Indep. Claims

###### Extra Claims

###### Fee (\$)

###### Fee Paid (\$)

###### Fee (\$) Fee Paid (\$)

5 - 3 or HP = 1 x 200 = \_\_\_\_\_

HP = highest number of independent claims paid for, if greater than 3.

##### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets    Extra Sheets    Number of each additional 50 or fraction thereof    Fee (\$)    Fee Paid (\$)

- 100 = \_\_\_\_\_ / 50 = \_\_\_\_\_ (round up to a whole number) x 250.00 = 0.00

##### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): RCE \$790 and 1-Independent Claim \$200

990.00

#### SUBMITTED BY

|                   |                         |                                      |                 |           |              |
|-------------------|-------------------------|--------------------------------------|-----------------|-----------|--------------|
| Signature         | <i>Mark R. Kendrick</i> | Registration No.<br>(Attorney/Agent) | 48468           | Telephone | 213.488.7253 |
| Name (Print/Type) | Mark R. Kendrick        | Date                                 | August 17, 2006 |           |              |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT  
81674-249728

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Request for Continued Examination ) Art Unit: 2616  
(RCE) Application of: ) Examiner: Steven Nguyen  
 )  
Yavatkar et al. )  
 )  
Serial No: 10/056,160 )  
 )  
Filed: January 24, 2002 )  
 )  
For: METHOD AND APPARATUS FOR )  
MANAGING ENERGY USAGE OF )  
PROCESSORS WHILE EXECUTING )  
PROTOCOL STATE MACHINES )

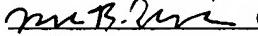
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Postal Service with sufficient postage as  
first class mail in an envelope addressed to:  
Commissioner for Patents, Box 1450  
Alexandria, VA 22313-1450, on

August 17, 2006.

Date of Deposit

Mark R. Kendrick

Name

 08 / 17 / 2006

Signature

Date

**REQUEST FOR CONTINUED EXAMINATION (RCE) and PRELIMINARY  
AMENDMENT**

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Examiner Nguyen:

In response to a Notice of Allowance dated May 17, 2006, applicants are filing a Request for Continued Examiner and Preliminary Amendment in lieu of paying the issue fee. Please accept the RCE, enter the Preliminary Amendment, and consider the following remarks.